



Gp #2157

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: NEAL D. HARTSELL ET AL.

Filed: JUNE 12, 2001

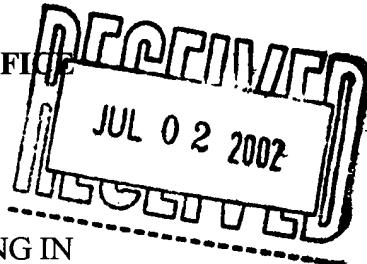
For: SYSTEMS AND METHODS FOR RESOURCE TRACKING IN INFORMATION MANAGEMENT ENVIRONMENTS

Serial No.: 09/879,809

Group Art Unit: 2151

Examiner: UNKNOWN

Atty Docket No.: SURG:151



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Technology Center 2100

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in a first class, postage prepaid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

6-19-02

*Mary Brown*

Date

Name

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Supplemental Information and Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be

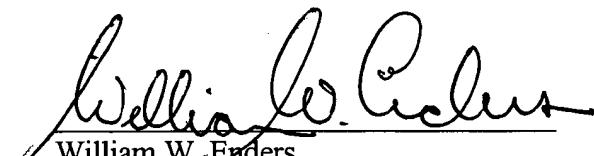
timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 10-1205/SURG:151.

A copy of the listed document(s) required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

Per 37 CFR 1.98(d), no copies of references C41 to C45 and C47 to C53 have been provided, as copies of these references have been previously submitted to the Office in co-pending U.S. Patent Application Serial No. 09/797,200 filed on March 1, 2001, which is entitled "Systems And Methods For The Deterministic Management of Information" and which is relied upon by the present application for an earlier effective filing date under 35 U.S.C. Section 120.

Applicant respectfully requests that the listed document(s) be made of record in the present case.

Respectfully submitted,



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Enclosures

**Form PTO-1449 (modified)**

Atty. Docket No.

Serial No.  
09/879,809

List of Patents and Publications for Applicant's

Applicant

Neal D. Hartsell et al.

**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Filing Date:

June 12, 2001

Group:

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U.S. Patent Documents

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Foreign Patent Documents

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Other Art

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**U.S. Patent Documents**

Technology Center 2100

Filing Date if  
App.

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class
	A1					

**Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						

**Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)**

Exam. Init.	Ref. Des.	Citation
	C32	“TrueSan FusionOS™ Software: The Information Renaissance: Unifying Storage Applications and Transports”, TrueSan Networks, Inc., 2001, pgs. 1-11.
	C33	“Increasing Intelligence Within The San Fabric”, Brocade, White Paper, June 2001, pgs. 1-6.
	C34	“The Essential Elements Of A Storage Networking Architecture”, Brocade, White Paper, June 2001, pgs. 1-10.
	C35	“Brocade Fabric OS For 2 Gbit/sec Switches”, Brocade, October 2001, 4 pgs.
	C36	“System Level Reference Design For IEEE 1355 Packet Routing Switch”, Xilinx, December 7, 2001, pgs. 1-5.
	C37	BigIron Architecture Technical Brief, White Paper, Foundry Networks BigIron, Version 3.2, December 2001, pgs. 1-16.
	C38	Data Sheet, Cisco 12410 Internet Router, April 23, 2001, 12 pgs.
	C39	“Virtual Interface Architecture Specification”, Compaq Computer Corporation, Intel Corporation and Microsoft Corporation, Version 1.0, December 16, 1997, pgs. 1-83.
	C40	“ATM” Computer Desktop Encyclopedia, <a href="http://www.techweb.com/encyclopedia/defineterm?term=atm">http://www.techweb.com/encyclopedia/defineterm?term=atm</a> , 1/18/02, pgs. 1-5
	C41	Copending U.S. Patent Application Serial No. 09/797,414, filed March 1, 2001, “Network Content Delivery System With Peer To Peer Processing Components”

Examiner:

Date Considered:

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Form PTO-1449 (modified)**

List of Patents and Publications for Applicant's

**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Atty. Docket No.

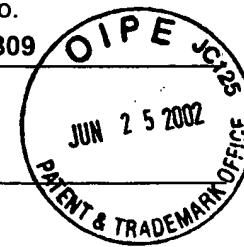
SURG:151

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U.S. Patent Documents

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Other Art

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**Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)****RECEIVED****JUL 01 2002**

Exam. Init.	Ref. Des.	Citation
	C42	Copending U.S. Patent Application Serial No. 09/797,411, Filed March 1, 2001, "Network Security Accelerator"
	C43	Copending U.S. Patent Application Serial No. 09/797,198, Filed March 1, 2001, "Systems And Methods For Management of Memory"
	C44	Copending U.S. Patent Application Serial No. 09/797,413, Filed March 1, 2001, "Network Connected Computing System"
	C45	Copending U.S. Patent Application Serial No. 09/797,199, Filed March 1, 2001, "System And Apparatus For Accelerating Content Delivery Throughout Networks"
	C46	Copending U.S. Application Serial No. 09/797,200, Filed March 1, 2001, "Systems And Methods For The Deterministic Management Of Information"
	C47	Copending U.S. Application Serial No. 09/797,197, Filed March 1, 2001, "Method And Systems For The Order Serialization Of Information In A Network Processing Environment"
	C48	Copending U.S. Application Serial No. 09/797,404, Filed March 1, 2001, "Interprocess Communications Within A Network Node Using Switch Fabric"
	C49	Copending U.S. Application Serial No. 09/797,507, Filed March 1, 2001, "Single Chassis Network Endpoint System With Network Processor For Load Balancing"
	C50	Copending U.S. Application Serial No. 09/797,201, Filed March 1, 2001, "Systems And Methods For Management Of Memory In Information Delivery Environments"
	C51	Copending U.S. Application Serial No. 09/797,412, Filed March 1, 2001, "Network Transport Accelerator"
	C52	Copending U.S. Application Serial No. 09/797,506, Filed March 1, 2001, "Network Endpoint System With Accelerated Data Path"
	C53	Copending U.S. Application Serial No. 09/797,195, Filed March 1, 2001, "Content Delivery System Providing Accelerate Content Delivery"

**Examiner:****Date Considered:**

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.